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Kathleen J. Moore
(signature)

(typed name of signature)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
AXEL NOETHE and STEFAN MENZEL

] Group Art Unit: 1762

Serial No. 09/763,481
Filed: April 23, 2001

] Examiner:

Filing Under 35 U.S.C. 371 in
the DO/EO/US off PCT/DE99/02582
filed 13 August 1999 (13.08.99)

For: METHOD FOR PRODUCING AN
ELECTROCHROMIC LAYER

] Attorney Docket 1-15247

July 17, 2001

Commissioner of Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Honorable Sir:

Pursuant to Section 1.97 of Title 37 of the Code of Federal Regulations, and prior to the issuance of a first office action, record is hereby made of published prior art the United States Patent and Trademark Office may wish to consider during examination of the above-entitled application, which was filed under 35 USC 371. No representation is made or intended that a prior art search

was conducted, or that no better art than that listed is available.

| <u>U.S. Patent</u> | <u>Date of Issuance</u> | <u>Inventor</u> |
|--------------------|-------------------------|-----------------|
| 4,451,498 | May 29, 1984 | Hashimoto et al |
| 5,859,723 | January 12, 1999 | Jodicke et al |
| 6,020,423 | February 1, 2000 | Jodicke et al |

| <u>Foreign Country/Patent No.</u> | <u>Date of Publication</u> |
|-----------------------------------|----------------------------|
| Germany DE 43 05 414 A1 | August 25, 1994 |
| Germany DE 197 06 918 A1 | August 27, 1998 |
| U.K. GB 2 086 601A | May 12, 1982 |
| European EP 0 683 215 A1 | July 5, 2000 |
| WIPO WO 96/06203 A | February 29, 1996 |
| WIPO WO 95/31746 A1 | November 23, 1995 |

Other Prior Art
None.

Copies of the above-cited foreign and U.S. patent documents are enclosed, along with IDS Form PTO/SB/08A. Both DE 43 05 414 (full English translation enclosed) and WO 96/06203 were cited in 1) the International Search Report (copy attached as Exhibit A) completed by the European Patent Office on February 4, 2000, and 2) the International Preliminary Examination Report completed by the European Patent Office on July 14, 2000. An English translation of the International Preliminary Examination Report is attached as Exhibit B.

Patent documents DE 197 06 918 A1, GB 2,086,601, WO 95/31746 A1, and WO96/06203 A1 were all cited in the earliest

claimed priority of the subject application, i.e., DE 198 39 299. An English translation of the Abstract of DE 197 06 918 A1 is also attached.

The following patent documents are discussed in more detail in the subject specification on the following pages:

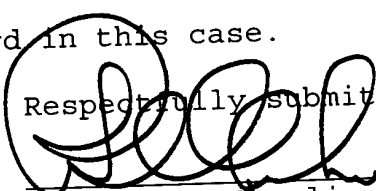
| | |
|------------------|-------------------|
| DE 197 06 918 A1 | Pages 2, 3 |
| EP 0 683 215 A1 | Page 2, 3 |
| WO 95/31746 A1 | Pages 2, 9 and 14 |
| GB 2,086,601 A | Page 5 |

and the following patent documents correspond as follows:

| | |
|--------------|--|
| WO 95/31746 | corresponds to US 5,859,723, US 6,020,423 and EP 0 683 215 |
| GB 2,086,601 | corresponds to US 4,451,498 |

It is requested that these references be fully considered and made of record in this case.

Respectfully submitted,


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